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PTO/SB/05 (11-00)
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UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.52(b)

Attorney Docket No. 80-17
First Inventor Yano P. Li et al.
Title WIDE PASSBAND OPTICAL INTERLEAVER
Express Mail Label No. ET937500889US

APPLICATION ELEMENTS See MPEP chapter 800 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification (Total Pages 13)
(preferred arrangement set forth below):
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

- ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary):
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

- ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 4)

- Oath or Declaration (Total Pages 1)
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
c. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.63(d)(3).

- ☐ Application Data Sheet. See 37 CFR 1.76

- ### ACCOMPANYING APPLICATION PARTS
- ☐ Assignment Papers (cover sheet & document(s))
 - ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
 - ☐ English Translation Document (if applicable)
 - ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations
 - ☐ Preliminary Amendment
 - ☒ Return Receipt Postcard (MPEP 603)
(Should be specifically itemized)
 - ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
 - ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
 - ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____

Prior application information: Examiner _____ Group/Art. Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied
under Box 5a, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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J1046 U.S. PTO
10/039242

12/31/01

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Yuan P. Li et al.

Docket No.

00-17

Serial No.

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Group Art Unit

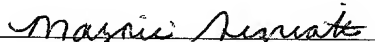
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Invention: **WIDE PASSBAND OPTICAL INTERLEAVER**

I hereby certify that the following correspondence:

New U.S. Patent Application*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

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